Docket No. 2005-012R PATENT RECEIVED **CENTRAL FAX CENTER**

SEP 27 2005

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Suzanne Sheelle

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Smith et al.

Confirmation No. 9684

Serial No.:

09/772,229

Group Art Unit: 2162

Filed:

01/29/01

For:

Systems, Methods and Computer Program Products for Determining Parameters for Chemical Synthesis and

for Supplying the Reagents, Equipment and/or Chemicals Synthesized Thereby

Examiner: To, Baoquoc N.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CHANGE OF DOCKET NUMBER

Sir.

Please change the docket number for the above identified application to: 2005-012R. Enclosed is an Amended Application Data Sheet with the new docket number.

Respectfully submitted,

Date: 9/27/05

Timothy A. Rorter Reg. No. 41,258 Attorney for Applicant

Symyx Technologies 3100 Central Expressway Santa Clara, California 95051

Tel.: (408) 764-2000 Fax: (408) 773-4029

PTO/SB/81 (09-03) Approved for use through 11/30/2005, OMB 0651-0035

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	09/772,229
Filling Date	01/29/01
First Named Inventor	Smith et al.
Title	Systems, Methods and Computer Program
Art Unit	2171
Examiner Name	To, Baoquoc N.
Attorney Docket Number	2005-012R

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<u> </u>	Applicant/Inventor.							
✓ A	Assignee of record of the Statement under 37 CF	ie entire interest. See 37 CFR R 3.73(b) is enclosed. (Form F	3.71. 270/SB/96)					
		SIGNATURE of		sign ee of R	ecord			
Name	Rogald A. Krasnow	1/		-				
Signature	Lincold	-Kreen S						
Date	9-27	- 05			Telephone	(408) 773		
NOTE: Signa forms if mon	atures of all the Inventora e than one signature is re	or assignees of record of the entire quired, see below.	o interest or their re	presentative((s) are required.	Submit multi	ple	
Tot	tal offo	rms are submitted.				•		

This collection of information is required by 37 GFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to fife (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 GFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEM	MENT UNDER 37 CFR 3.73(b)	RECEI CENTRAL FA
Applicant/Patent Owner, Smith et al.		
Application No./Patent No.: 10/395,713	Flled/issue Date: _03/24/03	SEP 27
Entitled: Systems, Methods and Computer Program Progra	roducts for Determining Parameters for Chemical Sy	ynthesis
Symyx Technologies, Inc. (Name of Assignee)	, a <u>corporation</u> (Type of Assignee, e.g., corporation, partnership, university)	ity, government agency, etc.)
states that it is: 1. 1. 1. 1. 1. 1. 1. 1.		
an assignee of less than the entire right, title and the extent (by percentage) of its ownership into in the patent application/patent identified above by vi	nterest is ———— %	•
A. [] An assignment from the Inventor(s) of the pate in the United States Patent and Trademark Officattached.	tent application/patent identified above. The assign fice at Reel, or for t	iment was recorded which a copy thereof is
OR		
B. [/] A chain of title from the inventor(s), of the pater below:		nt assignee as shown
1. From: Smith et al.	To: Synthematix, Inc.	
The document was recorded in the Unite	ted States Patent and Trademark Office at, or for which a copy thereof is attached	d.
2. From; Synthematix, Inc.	To: Syrnyx Technologies, Inc.	
The document was recorded in the Unite	ted States Patent and Trademark Office at , or for which a copy thereof is attached	ed.
3. From:	To:ted States Patent and Trademark Office at	
The document was recorded in the Unite Reel, Frame	ted States Patent and Trademark Office at, or for which a copy thereof is attact	hed.
[] Additional documents in the chain of title		
[/] Coples of assignments or other documents in the [NOTE: A separate copy (i.e., the original assignment be submitted to Assignment Division in accorded in the records of the USPTO. See MPER	iment document or a true copy of the original docul	iment) to be
The undersigned (whose title is supplied below) is aut	rthorized to act on behalf of the assignee. RopatrA_Krasnow	
Date	Typed or printed mem	
(408) 773-4024	Kundat A-Kac	
Telephone number	Signature	
	Senior VIce President, Intellectu Title	al Property

This collection of Information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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RECORDATION DATE: 01/29/2001

REEL/FRAME: 011491/0300

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SMITH, ROBIN YOUNG

DOC DATE: 01/25/2001

ASSIGNOR:

BALLARD, WILLIAM BRIAN

DOC DATE: 01/25/2001

ASSIGNOR:

COLEMAN, DAVID ALBERT

DOC DATE: 01/26/2001

ASSIGNEE:

SYNTHEMATIX, INC.

900 PERIMETER PARK DRIVE

SUITE G

MORRISVILLE, NORTH CAROLINA 27560

SERIAL NUMBER: 09772229

PATENT NUMBER:

FILING DATE: 01/29/2001

ISSUE DATE:

DOCKETED

By: 4444

Date: 4/24/01

011491/0300 PAGE 2

MARCUS KIRK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

HEET

Patents Only

		Patents Only
To the Honorable Commissioner of Patent Please record the attached original documents or copy thereor.	08009	January 29, 2001 Attorney Dkt. 9258-2
1. Name of conveying party(ies):	2. Name and address of receiving p	
Robin Young Smith William Brian Ballard David Albert Coleman (- > 9	Synthematix, Inc. 900 Perimeter Park Drive Suite G Morrisville, North Carolina 27560	15972 U.S. PTO 09/772229
Additional name(s) of conveying party(ies) attached? Yes X No		
3. Nature of conveyance:		
X Assignment Merger Security Agreement Change of Name Other Execution Date: January 25, 2001; January 26, 2001	Additional name(s) & eddress(cs) attached?	
4. Application Serial No Patent No.	199/112229	
If this document is being filed together with a new application, t is: January 25, 2001; January 25, 2001 Additional numbers attached? Yes X No Name and address of processes.		,
 Name and address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and p	atents involved: 1
Mitchell S. Bigel Myers Bigel Sibley & Sajovec P. O. Box 37428 Raleigh NC 27627	7. Total fee (37 CFR 3.41) X Enclosed Authorized to be charged to dep 8. Deposit account number: 50-0220	
DO NOT USE THIS SPACE 9. Statement and signature		
To the best of my knowledge and belief, the foregoing information of the original document. Mitchell S. Bigel, Reg. No. 29.614	is true and correct and any attached co	opy is a true copy
Name of Person Signing Signature	ber of pages including cover sheet, attac	Date \$ \$ hments and document: 5
		0000033 6
		₩ .

Attorney Docket No. 9258-2

ASSIGNMENT

THIS ASSIGNMENT, made by us, Robin Young Smith, citizen of the United States of America, residing at 3525 Boren Court, Raleigh, Wake County, North Carolina 27616; William Brian Ballard, citizen of the United States of America, residing at 400 Mahone Street, Apt. #9, Durham, Durham County, North Carolina 27713; and David Albert Coleman, citizen of the United States of America, residing at 329 Niagara Avenue, San Francisco, San Francisco County, California 94112

WITNESSETH: That,

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the

Page I of 4

priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF,	I have her	eunto set	my hand	and seal	on this at day
of January 2000.	leb- j	Young Sz	Se		(SEAL)
STATE OF NORTH CAROLINA)				
COUNTY OF WAKE) ss:)		•		
Before me personally appear	ed the per	son descr	ribed in a	nd who e	executed the
foregoing instrument, and he acknow	wledged to	me that	ha arasını		
therein stated, this 25 day of	Janus	ery	, 20 <u>00</u> .		par
		0. :		0.	00

Notary Public

SEAL

My Commission Expires: 77 200 3

Page 2 of 4

IN WITNESS WHEREOF, I	have hereunto set my hand and seal on this25 day
of January, 2000.	William Brian Ballard (SEAL)
STATE OF NORTH CAROLINA)) ss:
COUNTY OF WAKE)
Before me personally appeare	ed the person described in and who executed the
foregoing instrument, and he acknow	rledged to me that he executed the same for the purposes
therein stated, this _25** day of	anuaro, 20 00.
	Deanna andal
SEAL	Notary Public
My Commission Expires: 77144	17, 2003

My Commission Expires:



Page 4 of 4

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Company:

SYMYX TECHNOLOGIES, INC.

Fax Number: 14087734029

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Pg# Description

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SEPTEMBER 19, 2005

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TIMOTHY A. PORTER SYMYX TECHNOLOGIES, INC. LEGAL DEPARTMENT 3100 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/21/2005

REEL/FRAME: 016550/0317

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SYNTHEMATIX, INC.

DOC DATE: 07/21/2005

ASSIGNEE:

SYMYX TECHNOLOGIES, INC. 3100 CENTRAL EXPRESSWAY LEGAL DEPARTMENT SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09772229

PATENT NUMBER:

FILING DATE: 01/29/2001

ISSUE DATE:

PARAMETERS FOR MICAL SYNTHESIS AND FOR SUP! ING THE REAGENTS, EQUIPMENT AND/OR CHEMICALS SYNTHESIZED THEREBY

016550/0317 PAGE 2

SERIAL NUMBER: 10059818 FILING DATE: 01/29/2002

PATENT NUMBER: ISSUE DATE:

TITLE: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR DETERMINING PARAMETERS FOR CHEMICAL SYNTHESIS AND FOR SUPPLYING THE REAGENTS.

EQUIPMENT AND/OR CHEMICALS SYNTHESIZED THEREBY

SERIAL NUMBER: 10395713 FILING DATE: 03/24/2003

PATENT NUMBER: ISSUE DATE:

TITLE: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR DETERMINING

PARAMETERS FOR CHEMICAL SYNTHESIS

DOROTHY RILEY, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 700196984

Attorney's Docket No. 2005-012CIP1
PATENT

Form PTO-1595	US DEPARTMENT OF COMMERCE	
(Rev. 6-93) RECORDATION FOR	M COVER SHEET Patent and Trademark Office	
OMB No. 0691-0011 (exp. 4/94)		
	TS ONLY	
To the Honorable Assistant Commissioner of Patents and Trader Please record the attached original documents or copy thereof.	neric	
1. Name of conveying party(les)	2. Name and address of receiving party(ids)	
Synthematix, Inc.	Name: Symyx Technologias, Inc. Legal Department	
Additional name(s) of conveying Yes No party(les) ettached?	3100 Central Expressivay Sente Clara, CA 85051	
3. Nature of Conveyence:	Autobional name(s) & address(es) atteched? 🔲 Yes 🔀 No	
a. Nature of Control of the Control		
Assignment	Merger	
☐ Other	Change of Name	
Execution Date: July 21, 2005		
Application number(s) or patent number(s): If this document is being filed together with a new application, the	execution date of the application is:	
A. Patent Application No.(s) 09/772,229 filed 1/29/01 10/059,816 filed 1/29/01 10/385,713 filed 3/24/03	B. Padent No.(z)	
Addițional numbers atta	nathoof? Test X No	
 Name and address of party to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved:	
Attorncy's Name: Timothy A. Porter	7. Total fine (37 CFR 3.41): \$ 120.00	
Name: Symyx Technologies, Inc. Logal Department	Enclosed	
3100 Central Expressury Santa Clara, CA 95051	Authorized to be charged to deposit account	
	8. Deposit account number: 50-0498 (Attached duplicate copy of this page if paying by deposit account)	
9. Statement and signature:		
To the best of my knowledge and belief, the foregoing information is true and correct and any sitached copy to a true copy of the original document. Tempthy A. Porter Reg. No. 41.258 (Name of Person Signing) Signifium		
Total number of pages being submitted, including cover sheet, ettechment(s), and document(s) are:3		
Mail documents to be recorded with required cover about information to: Mail Stop Apalgraments Commiscioner of Potents P.O. Box 1450 Alexandria, YA 22313-1450		

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Docket No. 2005-012CIP1 PATENT

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Please record the attached original documents or copy thereof. 1. Name of conveying party(les)			
1. Name of conveying party(les)	2. Name and address of receiving party(les)		
Synthematix, Inc.	Name: Symyx Technologies, Inc. Legal Department CENTRAL FAX CENTE		
Additional name(s) of conveying Yes No party(les) attached?	3100 Central Expressway Santa Clara, CA 95051 SEP 2 7 2005		
3. Nature of Conveyance:	Additional name(s) & address(es) attached? Yes No		
Assignment	☐ Merger		
Citrer Other	Change of Name		
Execution Date: July 21, 2005			
Application number(s) or petent number(s): if this document is being filed together with a new application, the	e execution date of the application is:		
A. Patent Application No.(s) 09/772,229 filed 1/29/01 10/059,818 filed 1/29/01 10/395,713 filed 3/24/03	B. Patent No.(s)		
, Additional numbers att	tached? 🔲 Yes 🔯 No		
 Name and address of party to whom correspondence concerning document should be mailed: 	Total number of applications and patents involved: 1		
Attorney's Name: Timothy A. Porter	7. Total fee (37 CFR 3.41):		
Name: Symyx Technologies, Inc. Legal Department 3100 Central Expressway	Enclosed		
Santa Ciara, CA 95051	Authorized to be charged to deposit account		
	Deposit account number; 50-0496 (Attached duplicate copy of this page if paying by deposit account)		
9. Statement and signature:			
To the best of my knowledge and belief, the foregoing informathe original document.	ation is true and correct and any attached copy is a true copy of		
Name of Person Signing)	Signature 7/21/05 Date		
Total number of pages being submitted, including cover	sheet, attachment(s), and document(s) are:3		
Mail documents to be recorded with Mail Stop Ar Commission	required cover sheet information to: ssignments or of Patents		
P.O. Bo Alexandria, V			

SYMYX TECHNOLOGIES INC

ASSIGNMENT OF PATENT APPLICATIONS

WHEREAS, Synthematix, Inc., a corporation organized under the laws of the State of Delaware, located at Durham, North Carolina, hereinafter referred to as "Assignor," is the owner of the inventions described and set forth in the below identified applications for United States Letter Patent:

Title of Invention: Systems, Methods and Computer Program Products for Determining Parameters For Chemical Synthesis and for Supplying the Reagents, Equipment and/or Chemicals Synthesized Thereby

Filing Date: January 29, 2001, Application No.: 09/772,229; and

Title of Invention: <u>Systems, Methods and Computer Program Products for Determining Parameters For Chemical Synthesis and for Supplying the Reagents, Equipment and/or Chemicals Synthesized Thereby</u>

Filing Date: January 29, 2002, Application No.: 10/059,818; and

Title of Invention: Systems, Methods and Computer Program Products for Determining Parameters for Chemical Synthesis

Filing Date: March 24, 2003, Application No.: 10/395,713; and

WHEREAS, Symyx Technologies, Inc., a corporation organized under the laws of the State of Delaware, located at Santa Clara, California, hereinafter referred to as "Assignee," is desirous of acquiring Assignor's interest in the inventions and applications and in any Letters Patent and Registrations which may be granted on the same;

NOW THEREFORE, in view of the mutual covenants exchanged herein, and for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged by the parties, the parties agree that:

- 1. ASSIGNMENT. Assignor hereby assigns to Assignee all right, title and interest in and to the inventions and applications, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and the right to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof. Without limiting the generality of the foregoing, Assignor further assigns to Assignee all rights, title and interest to sue and recover for past infringements by any third parties of any such Letters Patent and Registrations.
- 2. DUTY OF CONTINUING ASSISTANCE. Assignor further agrees that it shall, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of

- U.S. Patent applications and foreign counterparts on the inventions and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the inventions and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.
- 3. EXPENSE AND MAINTENANCE. Assignee shall bear the burden and expense of any recordation of this Agreement or other documents evidencing this transaction and assignment. Assignee shall also bear the burden and expense of prosecuting and maintaining the applications, and of filing, prosecuting and maintaining any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof, and of maintaining any Letters Patent and Registrations which may hereafter be granted on the same.
- 4. WAIVER. Any waiver by either party of a breach of any term or condition of this Agreement shall not be considered as a waiver of any subsequent breach of the same or any other term or condition.
- 5. SEVERABILITY. If any provision of this Agreement is declared void or unenforceable by any judicial or administrative authority, this will not in and of itself nullify the remaining provisions of this Agreement.
- 6. INTEGRATION. The parties further agree that the preambles and whereas clauses recited above are incorporated within and are part of the terms and conditions of this Agreement.
- 7. APPLICABLE LAW. This Agreement shall be construed in accordance with and governed by the laws of the State of California without references to its principles of conflict of laws.
- 8. COMPLETE AGREEMENT. This Agreement constitutes the entire agreement between the parties. It may not be changed, amended, or appended to without a writing signed by the parties. All prior negotiations and undertakings are merged and fully integrated herein. There are no oral understandings to the contrary.

By:	SYMYX TECHNOLOGIES, INC. By:
21. Nough	MA
Paul J. Nowak	Jeryl-L. Hilleman
Secretary	Executive Vice President and
.1 .1	Chief Financial Officer
7/2/05	
Date:	Date: 7-20-01